

#4

PATENT

ATTORNEY DOCKET NO. 00398/502003

Certificate of Mailing: Date of Deposit: March 28, 2002

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Rosemarie Perullo

Printed name of person mailing correspondence      Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Andrew G. Plaut et al.	Art Unit:	1653
Serial No.:	10/021,952	Examiner:	Not Assigned
Filed:	December 13, 2001	Customer No.:	21559
Title:	COMPOSITIONS AND METHODS FOR PROTEOLYTICALLY INACTIVATING INFECTIOUS AGENTS USING LACTOFERRIN AND RELATED MOLECULES		

Box Missing Parts  
Assistant Commissioner For Patents  
Washington, D.C. 20231

REQUEST TO CORRECT FILING RECEIPT

Applicant requests that the attached filing receipt be corrected as follows:

Under "Domestic Priority data as claimed by applicant"

Please insert:

-- **THIS APPLN IS A CIP OF 09/289,997 FILED 04/12/99  
WHICH CLAIMS BENEFIT OF 60/081,564 FILED 04/13/98--**

and remove the following:

"THIS APPLN CLAIMS BENEFIT OF 60/081,564 04/13/1998"

A copy of the incorrect filing receipt with changes noted thereon is submitted herewith for correction. Also enclosed is a copy of the application transmittal which indicates the correct priority information as originally filed.

If there are any charges, or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date:

March 28, 2002

Timothy J. Douros

Timothy J. Douros  
Reg. No. 41,716

Clark & Elbing LLP  
101 Federal Street  
Boston, MA 02110-2106  
Telephone: 617-428-0200  
Facsimile: 617-428-7045



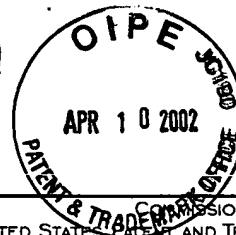
21559  
PATENT TRADEMARK OFFICE

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/021,952	12/13/2001	1653	985	00398/502003	19	37	14

21559  
CLARK & ELBING LLP  
176 FEDERAL STREET  
BOSTON, MA 02110-2214

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FEB 06 2002

CLARK &amp; ELBING

CONFIRMATION NO. 2691

FILING RECEIPT



\*OC00000007383212\*

Date Mailed: 01/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Andrew G. Plaut, Lexington, MA;  
Jiazhou Qiu, Medford, MA;  
Joseph W. St. Geme III, St. Louis, MO;

## Domestic Priority data as claimed by applicant

~~THIS APPLN CLAIMS BENEFIT OF 60/081,564 04/13/1998~~

## Foreign Applications

THIS APPLN IS A CIP OF 09/289,997 FILED 04/12/99  
WHICH CLAIMS BENEFIT OF 60/081,564 FILED 04/13/98

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Compositions and methods for proteolytically inactivating infectious agents using lactoferrin and related molecules

## Preliminary Class

424



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## Certificate of Mailing

Date of Deposit December 13, 2001Label Number: EL 509047114US

I hereby certify under 37 C.F.R. § 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to: BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Guy Beardsley

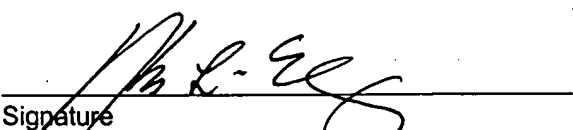
Printed name of person mailing correspondence

  
Signature of person mailing correspondence

### UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53(b)

Attorney Docket Number	00398/502003
Applicant	ANDREW G. PLAUT, JIAZHOU QIU, and JOSEPH W. ST. GEME, III
Title	COMPOSITIONS AND METHODS FOR PROTEOLYTICALLY INACTIVATING INFECTIOUS AGENTS USING LACTOFERRIN AND RELATED MOLECULES
<b>PRIORITY INFORMATION:</b> This application is a continuation-in-part of, and claims priority from U.S. provisional patent application serial number 60/081,564, filed April 13, 1998, and claims priority from U.S. utility patent application serial number 09/289,997, filed April 12, 1999.	
<b>SMALL ENTITY STATUS:</b> <input checked="" type="checkbox"/> Applicant claims small entity status under 37 C.F.R. § 1.27.	
<b>APPLICATION ELEMENTS:</b>	
Cover sheet	[1] pages
Specification	[36] pages
Claims	[4] pages
Abstract	[1] pages
Drawing (Figs. 1-16)	[19] sheets
Combined Declaration and POA, which is: <input checked="" type="checkbox"/> Unsigned; <input type="checkbox"/> Newly signed for this application; <input type="checkbox"/> A copy from prior application ***** and the prior application is considered as being part of the disclosure of this new application and is hereby incorporated by reference therein.	[2] pages
A copy of A Petition for Extension of Time (4 months)	[1] pages
Sequence Statement	[**] pages
Sequence Listing on Paper	[**] pages
Sequence Listing on Diskette	[**] disk



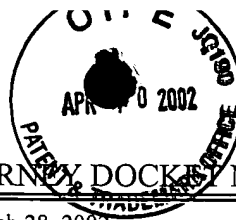
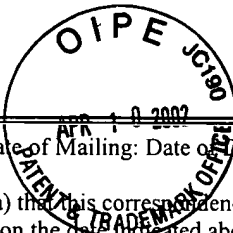
IDS	[**] pages
Form PTO 1449	[**] pages
Cited References	[**] references
Recordation Form Cover Sheet and Assignment	[**] pages
English Translation	[**] pages
Certified Copy of Priority Document	[**] pages
Return Receipt Postcard	1
<b>FILING FEES:</b>	
Basic Filing Fee: \$370	\$370.00
Excess Claims Fee: $37 - 20 = 17 \times \$9$	\$153.00
Excess Independent Claims Fee: $14 - 3 = 11 \times \$42$	\$462.00
Multiple Dependent Claims Fee: \$280/\$140	\$0
Total Fees:	\$985.00
<input checked="" type="checkbox"/> Enclosed is a check for \$985.00 to cover the total fees. <input type="checkbox"/> Charge [**AMOUNT**] to Deposit Account No. 03-2095 to cover the total fees. <input type="checkbox"/> The filing fee is not being paid at this time. <input checked="" type="checkbox"/> Please apply any other charges, or any credits, to Deposit Account No. 03-2095.	
<b>CORRESPONDENCE ADDRESS:</b>	
Karen L. Elbing, Ph.D. Reg. No. 35,238 Clark & Elbing LLP 176 Federal Street Boston, MA 02110  Telephone: 617-428-0200 Facsimile: 617-428-7045	
<b>CUSTOMER NO: 21559</b>	
Signature 	Date <u>13 Dec 2001</u>

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ATTORNEY DOCKET NO. 00398/502003

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Rosemarie Perullo  
Printed name of person mailing correspondence

*Rosemarie Perullo*  
Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew G. Plaut et al. Art Unit: 1653  
Serial No.: 10/021,952 Examiner:  
Filed: December 13, 2001 Customer No.: 21559  
Title: COMPOSITIONS AND METHODS FOR PROTEOLYTICALLY  
INACTIVATING INFECTIOUS AGENTS USING LACTOFERRIN AND  
RELATED MOLECULES

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

REPLY TO NOTICE TO FILE MISSING PARTS

In reply to the Notice to File Missing Parts of Application mailed January 29, 2002 (a copy of which is enclosed), Applicant as a small entity submits herewith the following:

- ☒ A Combined Declaration and Power of Attorney in compliance with 37 C.F.R. § 1.63.
- ☒ Payment of the surcharge of \$65.00 for late filing of the basic filing fee/declaration.

If there are any charges, or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date:

*March 28, 2002*

*Timothy J. Douros*  
Timothy J. Douros  
Reg. No. 41,716

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101 Federal Street  
Boston, MA 02110  
Telephone: 617-428-0200  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,952	12/13/2001	Andrew G. Plaut	00398/502003

21559  
CLARK & ELBING LLP  
176 FEDERAL STREET  
BOSTON, MA 02110-2214



CONFIRMATION NO. 2691

## FORMALITIES LETTER



\*OC000000007383213\*

Date Mailed: 01/29/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/12/2002 BABRAHA1 00000015 10021952

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65.00 DP